



Practitioner's Docket No. 60306-USA

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James J. Modliszewski, Arthur D. Ballard, Christopher J. Sewall, William R. Blakemore, and Peter J. Riley

Application No.: 10/824,919

Filed: April 14, 2004

For: Homogeneous, Thermoreversible Alginate Films and Soft Capsules Made Therefrom

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 2, 2004. A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

REPLACEMENT DRAWINGS

III. Replacement drawings of Figures 1-5 are enclosed.

COMPLETION FEES

IV. Applicants believe that the additional claims fees were paid with the application as filed. However, please charge any required fees as indicated below:

1. Fees for Claims

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CERTIFICATION UNDER 37 C.F.R. § 1.8(a)

I hereby certify that, on the date shown below, this correspondence (along with any correspondence referred to as being attached or enclosed) is being deposited with the United States Postal Service in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, with sufficient postage as first class mail.

Date: 10-4-04

Margaret Christy  
Signature

Margaret Christy  
(type or print name of person certifying)

10/07/2004 HDEHES1 00000064 061440 10824919

03 FC:1251 110.00 DA

	Multiple dependent claim(s) (37 C.F.R. § 1.16(d))	\$290.00
2.	Surcharge Fees Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. § 1.16(e))	\$130.00
	Total Completion Fees	\$420.00

#### **EXTENSION OF TIME**

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply. Applicants petition for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. § 1.17(a)(1), for one month.

Fee	\$110.00
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If an additional extension of time is required, please consider this a petition therefor.

#### **TOTAL FEE DUE**

VI. The total fee due is:

Completion fees	\$420.00
Extension fee (if any)	\$110.00
Total Fee Due	\$530.00

#### **PAYMENT OF FEES**

VII. Authorization is hereby made to charge the amount of \$530.00 to Deposit Account No. 06-1440. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

#### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VIII. The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.

37 C.F.R. § 1.16(a), (f) or (g) (filing fees)

37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)

37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. § 1.17 (application processing fees)

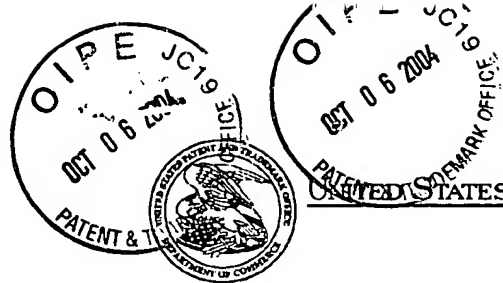
Date: October 4, 2004

Reg. No.: 35,866  
Tel. No.: 215-299-6723

Paul A. Fair

Signature of Practitioner  
Paul A. Fair  
FMC Corporation  
1735 Market Street  
Philadelphia, PA 19103

JFW



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,919	04/14/2004	James J. Modliszewski	60306 USA (10884-00010-US

CONFIRMATION NO. 7365

## FORMALITIES LETTER



\*OC000000013145046\*

Paul A. Fair  
 Patent Administration  
 FMC Corporation  
 1735 Market Street  
 Philadelphia, PA 19103

Date Mailed: 07/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/07/2004 HDEMSS1 00000064 061440 10824919

FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 DA  
 02 FC:1203 300.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) fig 2-5.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$290** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

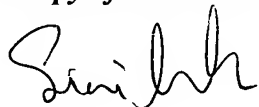
Total additional fee(s) required for this application is **\$420** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$290**
  - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
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P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE